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Appendix B

DEC 06 2002

State of South Dakota

S.D. SEC OF STATE

Candidate's or Committee's Report of Receipts and Expenditures

Candidates and candidate committees: File in the office where you filed your nominating petition.

PACs, political party, ballot question and other committees: File with Elections Department, Secretary of State's Office,
500 E Capitol Ave, Pierre, SD 57501-5070

See pages 9 & 10 of the Guideline Book for specific instructions on completing this report.

Name of Candidate or Committee Vincent Swenson

Complete Mailing Address 627 West Bennett St

Name of Person Making Report Vincent Swenson Daytime Phone (605) 338-7383

If you are a candidate, what office are you seeking State House Dist 15

If you are a ballot question committee, indicate which measure(s) the committee was involved with during the reporting period and whether the measure was supported or opposed.

Type of Report (See pages 4 & 5 of Guideline Book) Termination report

For Reporting Period Ending (See pages 4 & 5 of Guideline Book) post general

The following verification must be completed before submitting report.

VERIFICATION OF PERSON MAKING REPORT

I Vincent Swenson (print name legibly), certify

that I have examined this report and to the best of my knowledge and belief it is true, correct and complete.

Date: 12-4-02

Vincent Swenson
Candidate Signature or
Signature of Committee Treasurer or Chairperson

Revised July 2001

Filed this 23rd day of
December, 2002

Joyce Hazeltine

SECRETARY OF STATE

Name of Candidate or Committee Vincent Swenson

For the reporting period ending 12-31-02

Schedule A - Direct Contributions

This schedule is used for reporting all direct contributions. You must keep a record of all contributors, but for this report you may combine all contributions of \$100 or less from individuals and the same from political parties and enter these sums as unitemized contributions on their respective lines below and on the next page. Any contribution of more than \$100 or aggregate during a calendar year from an individual or political party and all contributions from PAC's must be entered as a separate item (itemized) giving the amount, name, address and place of employment (if applicable) of the contributor. Each type of contributor has their own section for itemization. This schedule may be duplicated if you need more space, or you may attach additional sheets of paper.

Unitemized Contributions from Individuals:

*\$ 230⁰⁰

Itemized Contributions from Individuals

Total of Itemized Contributions from Individuals:

*\$ 979.43

Name of Candidate or Committee Vincent Swanson

For the reporting period ending 12-31-02

Schedule A - Direct Contributions (continued)

Unitemized Contributions from Political Parties:

*\$ ⑧

Itemized Contributions from Political Parties

Party Name	Address	
<u>I.D. Republican Party</u>	P.O. Box 1899 Pierre S.D. 57501	\$ 450.00

Total of Itemized Contributions from Political Parties:

*\$ 4.50 ~~.00~~

Itemized Contributions from Political Action Committees (PAC's)
(All contributions from PAC's must be itemized.)

(All contributions from PAC's must be itemized.)

Total Itemized Contributions from Political Action Committees: *\$ 150⁰⁰

Total of All Direct Contributions (Sum of all lines with an *) \$ 1809.95

Name of Candidate or Committee Vincent Swanson

For the reporting period ending 12-31-02

Schedule B - Fund-Raising Events Proceeds

List on this schedule fund-raising events held to raise money for the candidate and the net proceeds derived from each event. If a contributor gives more than \$100 or their contribution results in their aggregate being more than \$100 in the calendar year, those contributions must be itemized on Schedule A.

Type of Event	Net Proceeds
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Net Proceeds

Total: \$ 2

Schedule C - In Kind Contributions

Report all non-cash contributions of goods or services and the estimated fair market value. If the value exceeds \$100, the name of the contributor, residence address and place of employment must be reported.

Nature of Non-Cash Contribution **Estimated Value** **Name of Contributor**

Total: \$ 8

Schedule D - Other Income

Use this schedule to report any refunds, interest earned or other income which is not a direct contribution.

Source of Income	Amount
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Total: \$ 8

Name of Candidate or Committee Vincent T. Swenson

For the reporting period ending 12-31-83

Schedule E - Expenditures

This schedule is to report all expenditures relating to a candidate's campaign. Line items have been provided for reporting common expenses. All other expenses should be listed. **All contributions to candidates and committees must be listed individually.**

Item	Amount	Contributions Made to Candidates and Committees:
Advertising	<u>\$187.00</u>	
Consulting	<u>0</u>	
Postage	<u>475.54</u>	
Printing	<u>642.97</u>	
Rent	<u>0</u>	
Salaries	<u>0</u>	
Telephone	<u>0</u>	
Travel	<u>0</u>	
Utilities	<u>0</u>	

Other Expenses:

~~copy paper supplies~~ 136.66
~~voter list~~ 93.46
~~food~~ 41.12

Total Expenditures: \$2,570.81

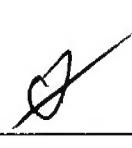
Name of Candidate or Committee Vincent Swenson
For the reporting period ending 12-31-01

Schedule F - Debts and Obligations

This schedule is to report all of the candidate's campaign obligations which are unpaid at the end of the reporting period. If a service has been contracted but not billed, estimate the amount of the obligation.

Owed To	Purpose	Amount
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Total Obligations: \$ 

Name of Candidate or Committee Vincent Swenson

For the reporting period ending 12-31-02

Summary Page

This summary sheet will give a brief outline of all campaign finance activity during this reporting period. Please transfer all totals from the schedules previously completed.

1. Amount on hand, if any, at beginning of reporting period \$ D

2. Receipts

Schedule A - Direct Contributions \$ 1809 43

Schedule B - Fund-Raising Events \$ 0

Schedule C - In Kind Contributions \$ 0

Schedule D - Other Income \$ 0

Total of all receipts \$ 1809 43

3. Total Monetary Receipts (A+B+D) \$ 1809 43

4. Candidate's Personal Contribution to Own Campaign \$ 761 38

5. Monetary Loans to Candidate or Committee During Reporting Period \$ 0

6. Monetary Loans Repaid During Reporting Period \$ 0

7. Expenditures - Schedule E \$ 2570 81

8. Unpaid Obligations - Schedule F \$ 0

9. Amount on hand at the close of this reporting period.
This should equal lines (1+3+4+5)-(6+7) \$ 0

Secretary of State

State Capitol, Ste 204
 500 East Capitol Avenue
 Pierre, South Dakota
 57501-5070
 sdsos@state.sd.us



JOYCE HAZELTINE
 Secretary of State

TOM LECKEY
 Deputy

State of South Dakota

Voluntary Statement of Organization for a Political Action or Ballot Question Committee

State law does not require new political action (PAC) or ballot question committees to register with the Secretary of State. Law does however require these committees to file campaign finance reports periodically following the commencement of political activity. This voluntary registration form will give the Secretary of State the information necessary to send your committee the proper reporting forms prior to the deadline for filing.

FULL NAME OF COMMITTEE: _____

MAILING ADDRESS: _____

COMMITTEE TREASURER: _____

PHONE: _____

TYPE OF COMMITTEE (PAC or Ballot Question): _____
 If you are a ballot question committee, please also indicate the measure which you are supporting or opposing.

Date: _____

Signature of person submitting voluntary registration

State of South Dakota
Statement of Financial Interest
Candidate for Public Office

File statement in the office where your nominating petition or convention nomination certification was filed.

Please read information on reverse side before completing this form.

1. Name Vincent Swenson
2. Address 627 W. Bennett #4
3. Office Sought State House Dist 15
4. What is your occupation/profession? Correctional officer
5. List any enterprise which accounted for more than ten percent of, or contributed more than \$2,000 to, your family's (includes spouse, minor children living at home) gross income in the preceding calendar year. Identify who receives the income from each enterprise.
S.D.D.P
Army Reserves
Waterford All Saints
Apartment
- What is the nature of your immediate family's association with each? The value of the financial interest need not be reported.
job
job
wife job
rental property

6. List any enterprise in which you, your spouse or minor children living at home control more than ten percent of the capital or stock. Identify who has the ownership interest in each enterprise.
Apartment 4plex

What is the nature of your immediate family's association with each?

We both jointly own

State of South Dakota)
County of Minnehaha) SS.

Verification

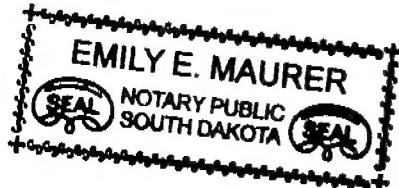
✓

I have reviewed paragraphs 1 through 6 of the Information Regarding Statement of Financial Interest (attached), my Statement of Financial Interest and certify that the information reported is a complete, true and accurate representation of my financial interests for the preceding calendar year.

(Signed) Vincent Swenson

Sworn to before me this 4 day of December, 2002.

(Seal)



Emily E. Maurer
Officer Administering Oath
My commission expires: 02-11-05

Information Regarding Statement of Financial Interest

1. Who Files. This form is to be completed by all candidates filing nominating petitions for United States Senator, United States House of Representatives, Governor, Circuit Court Judge, State Legislature, County Commissioner, School Board Member in a school district with total enrollment of more than two thousand students, or commissioner, council member, or mayor in any first class municipality; all convention nominees for Lieutenant Governor, State Treasurer, Attorney General, Secretary of State, State Auditor, Public Utilities Commissioner and Commissioner of School and Public Lands; and all Supreme Court Justices seeking retention.

2. Deadline. It must be filed in the office where your nominating petition or convention nomination certification was filed within 15 days after that filing.

3. Nature of your association in #5 and #6 means if you were an employee, officer, director, associate, partner, stockholder, member, proprietor, served in an advisory or consulting capacity, or provided professional services. This must be provided for each enterprise which is listed.

4. Definitions of Terms (SDCL 12-25-27)

- (1) "Any member of his immediate family," a spouse or minor children living at home;
- (2) "Close economic interest," any enterprise that, in the calendar year preceding filing of a statement under any of §§ 12-25-28 to 12-25-30, inclusive, contributes either more than ten percent of or more than two thousand dollars, to the gross income of the family which shall include the individual required to file the statement and any member of his immediate family, or an enterprise in which such individual or any member of his immediate family control more than ten percent of the capital or stock;
- (3) "Enterprise," any business or economic relationship [where you were an employee, officer, director, associate, shareholder, member, partner or proprietor];
- (4) "Statement of financial interest," a description of the type of financial activity and the nature of the association with the enterprise as provided in subdivision (1) of this section.

5. Penalty (SDCL 12-25-28) Failure to file the statement of financial interest is a petty offense. An intentional violation is a Class 2 misdemeanor.

6. Conflict of Interest Provisions. State officeholders and local government officials are prohibited (with limited exceptions) from doing business with state and local government. Corporations, partnerships and other business entities in which the officeholder holds some interest or control are likewise precluded from doing governmental business. Spouses and other family members of the officeholder may be similarly prohibited (although no definitive court decision has yet been issued). A prohibited contract may be declared void or subject the officeholder to criminal sanctions. Candidates should review all personal and family financial interests accordingly and contact a lawyer with questions.

- a) **Legislators (Art. III, § 12)** This provision of the State Constitution prohibits a legislator from having any interest, direct or indirect, in a contract with the State or county authorized or funded by any law enacted during the legislator's term or one year thereafter.
- b) **State officers (SDCL 5-23-14.2)** This statute prohibits any state officer from being interested in any state contract which the officer has the supervisory power to approve, award or administer.
- c) **Local government officers (SDCL §§ 6-1-1, 6-1-2, 3-16-7)** With limited exceptions local governmental officers, appointed and elected, cannot be interested, directly or indirectly, in contracts with the governmental entity they serve as officers. Contracts that are excepted are detailed in SDCL 6-1-2.